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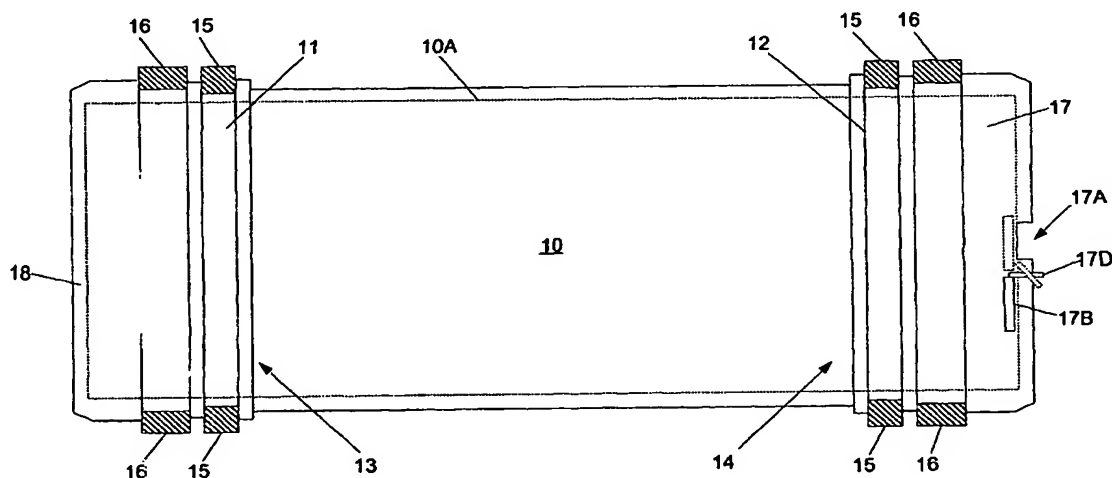
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(54) Title: SECURITY SYSTEM



(57) Abstract: The present invention relates to apparatus for transferring security documents. The apparatus is formed from a carrier adapted for use in an air tube system capable of transporting the carrier from a source to a destination. The carrier includes a substantially cylindrically shaped housing (10) which cooperates with the tube to allow the carrier to be transported. The housing includes an internal cavity (10A), and is coupled to a lid (17) and a base (18) to enclose the cavity. The lid includes an aperture (17A) to allow documents to be inserted into the cavity, with the base being removable via a lock to allow the security documents to be removed. The invention also claims an air tube system for transferring security documents, the system including a controller adapted to control a number of control stations (21A, 21B, ...21G) for selectively transferring carriers along interconnecting tubes between loading stations and destination stations.

## SECURITY SYSTEM

### **Background of the Invention**

The present invention relates to a carrier and a system for transporting security documents,  
5 and in particular security documents such as cash.

### **Description of the Prior Art**

The reference to any prior art in this specification is not, and should not be taken as, an  
acknowledgement or any form of suggestion that the prior art forms part of the common  
10 general knowledge in Australia.

Currently, it is known to transport cash and other security documents, using air tube  
systems. In air tube systems, the documents are located in a carrier, which is in turn placed  
in a tube. The carrier creates a seal with the tube, such that the carrier may be sucked along  
15 the tube from a loading point, to a collection point, thereby allowing the documents to be  
transported.

However, the level of security provided by the system is minimal. For example, the  
carriers are not generally secure and can therefore be intercepted along the tube length,  
20 allowing the contents to be examined or removed. This also allows individuals interacting  
with the carrier, such as the individuals loading or removing the carrier from the tube, to  
access the contents of the carrier, which may be undesirable.

This is particularly important in situations where large quantities of cash are handled, such  
25 as in the gaming industry. For example, when cash is collected from the gaming tables, it is  
important to ensure that the cash is securely transported from the table to a counting room,  
particularly as quantities can often involve tens or hundreds of thousands of dollars.

Currently, cash collected at the tables is inserted through a slot in the table surface and into  
30 a security box, which is locked into the table. At a suitable time, such as when the box is  
full, the box is unlocked from the table by a security guard. The guard then transports the  
cash to the gaming room. Security cameras typically monitor this procedure.

Even using the security guard does not assure security as the guard may be attacked, or even take the cash themselves. Accordingly, when large amounts of money are involved, often several security guards are required, which in turn can prove prohibitively expensive. Currently however, other forms of transport system, such as air tube systems are too  
5 insecure to allow such large amounts of money to be transferred in this fashion.

### Summary of the Present Invention

In a first broad form the present invention provides apparatus for transferring security documents, the apparatus being formed from a carrier adapted for use in an air tube system,  
10 the air tube system having a tube for transporting the carrier from a source to a destination, the carrier including:

- a) A substantially cylindrically shaped housing defining an internal cavity, the housing being adapted to cooperate with the tube in use, to allow the carrier to be transported;
- 15 b) A lid coupled to a first end of the housing, the lid including an aperture adapted to allow documents to be inserted into the cavity in use;
- c) A base removably mounted to a second end of the housing opposite the first, the base being removable to allow access to the cavity; and,
- d) A lock for retaining the base in place.

20

The lock is preferably formed from a dual key bi-lock mechanism.

The aperture may be formed from a narrow slot.

25 The carrier typically includes:

- a) A shutter movably mounted to the lid, the shutter being adapted to move between an open position, and a closed position in which the aperture is blocked;
- b) A shutter opening system positioned in the cavity, and being adapted to be activated manually to thereby allow the shutter to be opened, the ; and,
- 30 c) A shutter closing mechanism, the shutter closing mechanism being provided on the outside of the housing, and being adapted to be activated once security documents have been inserted into the cavity.

The carrier can be adapted to be positioned in a docking station, to allow the carrier to be positioned in a gaming table in use, with the aperture aligned with a game table slot.

5 The gaming table typically includes an actuator for activating the shutter closing mechanism when the carrier is removed from the gaming table.

The carrier may include an identifier, the air tube system including a number of detectors positioned at respective locations in the air tube system, the detectors being adapted to:

- a) Detect the identifier of carriers traversing the location; and,
- 10 b) Transfer an indication of the identifier to a controller adapted to determine the location of the carrier in accordance with the detector and the identifier.

The carrier typically includes a communications system for transferring an indication of the identifier to the detector.

15

The transmitting system may be formed from an RFID system.

In a second broad form the present invention provides a docking system for receiving a carrier for transporting security documents, the carrier having a cylindrically shaped housing having an aperture in a first end for receiving the documents, the docking system  
20 including a substantially cylindrical shaped cavity adapted to receive the carrier, the docking system being adapted to cooperate with a gaming table such that documents inserted through a gaming table slot are received by the carrier aperture.

25 The docking system may include a locking mechanism, the locking mechanism being adapted to selectively retain the carrier in the cavity.

The docking system can be adapted to cooperate with an aperture in the gaming table, to allowing the docking stations to be moved from an open position in which the cavity is  
30 accessible to allow the carrier to be inserted therein, and a closed position in which the carrier aperture is aligned with the slot.

The cavity may include one or more guides, the guides being adapted to cooperate with one or more carrier guides mounted to carrier to thereby align the carrier in the cavity.

5 The docking system can be adapted to receive a carrier according to the first road form of the invention.

In a third broad form the present invention provides a controller adapted for use in an air tube system, the air tube system including a number of controlling stations for selectively transferring carriers along interconnecting tubes between loading stations and destination  
10 stations, and one or more detectors for detecting the position of the carrier within the tubes, the controller being adapted to:

- a) Cause the controlling stations to transfer a carrier loaded at a respective loading station to a destination station;
- b) Monitor signals from the detectors to determine the changes in the position of  
15 carriers;
- c) Compare the changes in the position of each carrier to predetermined criteria; and,
- d) Generate an indication in response to the comparison.

Each carrier preferably includes a respective identifier, the detectors being adapted to  
20 determine the respective identifier of each carrier and transfer an indication of this to the controller, the controller being responsive to the identifier and the respective detector to determine the position of the respective carrier.

The controller is preferably adapted to transfer the carrier between respective loading and  
25 destination stations in accordance with the respective identifier.

The controller can include:

- a) A store for storing the predetermined criteria in the form of predetermined thresholds; and,
- b) A processor coupled to the store, the processor being adapted to:  
30 i) Determine the current position of the carrier;  
ii) Store an indication of the current position in the store;

- iii) Compare the current time taken to move between subsequent positions to the selected ones of the predetermined thresholds stored in the memory; and,
- iv) Generate an alert in accordance with an unsuccessful comparison.

5 The alert may be at least one of an audible or visual alert, and this may be generated at a device remote to the processing system.

The predetermined threshold may be selected in accordance with the most recent position of the carrier.

10

The processor can be further adapted to store an indication of the carrier positions together with an associated time indication in the store.

15 In a fourth broad form the present invention provides an air tube system for transferring security documents, the air tube system including:

- a) A number of tubes;
  - b) One or more loading stations coupled to the tubes, the loading stations being adapted to allow carriers including security documents to be loaded into the tubes;
  - c) One or more destination stations coupled to the tubes, the destination stations being adapted to receive carriers from the tubes;
  - d) A pump for pumping into, or out of selected ones of the tubes;
  - e) One or more controlling stations for selectively interconnecting the tubes;
  - f) A number of detectors for detecting the position of the carrier within the
  - g) A controller coupled to the controlling stations and the detectors, the controller being adapted to:
- i) Cause the controlling stations to transfer a carrier loaded at a respective loading station to a destination station; and,
  - ii) Monitor signals from the detectors to determine the changes in the position of carriers.

30

The controller can be further adapted to:

- a) Compare the changes in the position of each carrier to predetermined criteria; and,
- b) Generate an indication in response to the comparison.

The controller may be a controller according to the third broad form of the invention, and the carrier may be a carrier according to the first broad form of the invention.

5 In a fifth broad form the present invention provides a system for transferring security documents, the system including:

- c) A carrier according to the first broad form of the invention; and,
- d) An air tube system according to the fourth broad form of the invention.

10 The system may be adapted for use in a gaming environment, the system further including a docking station according to the second broad form of the invention.

In a sixth broad form the present invention provides a method of transferring security documents from a source to a destination, the method including:

- 15 a) Loading the security documents into a carrier;
- b) Transferring the carrier from a loading station to a destination station via an air tube system;
- c) Detecting the position of the carrier within the air tube system;
- d) Compare the position of the carrier within the air tube system to predetermined
- 20 criteria; and,
- e) Generate an indication in accordance with the results of the comparison.

The method is preferably performed using the carrier of the first broad form of the invention, and a controller according to the third broad form of the invention.

25

### **Brief Description of the Drawings**

An example of the present invention will now be described with reference to the accompanying drawings, in which: -

30 Figure 1 is schematic diagram of an example of a system according to the present invention;

Figure 2A is a schematic diagram of an example of the carrier of Figure 1;

Figure 2B is a schematic plan view of an example of the lid of Figure 2A;

- Figure 3 is a schematic diagram of an example of the air tube system of Figure 1;  
Figure 4 is a schematic diagram of an example of the processing system of Figure 1; and,  
Figure 5 is a schematic diagram of an example of a gaming table;  
Figure 6 is a schematic diagram of an example of the docking station of Figure 1;  
5 Figure 7 is a schematic diagram of a second example of the processing system of Figure 1;  
Figure 8 is a schematic diagram of an example of the loading station of Figure 1; and,  
Figures 9A and 9B are a flow chart of the manner of operation of a specific system.

### **Detailed Description of the Preferred Embodiments**

- 10 An example of the present invention will now be described with reference to Figure 1, which shows an example of a system for implementing secure document transport.

As shown the system is formed from an optional docking station 1, a carrier 2, and an air tube system 3 that couples one or more loading stations 4 to one or more destination  
15 stations 5. In general, operation and monitoring of the air tube system 3 is achieved using a processing system 6.

The carrier 2 is shown in more detail in Figures 2A and 2B. As shown in Figure 2A, the carrier is formed from a generally cylindrically shaped body 10 that defines an internal  
20 cavity shown by the dotted line 10A. Cylindrically shaped mountings 11, 12 are positioned at respective ends 13, 14 of the body 10, with each mounting having a drive ring 15, which is typically formed from rubber, such as a synthetic rubber like polypropylene, and an alignment ring 16, which may be formed from a material such as Velcro or the like.

- 25 The carrier 2 includes a lid 17 fixed to the carrier 2 at one end of the body 10, and a base 18, which is removably mounted to the carrier either by connecting the base 18 to the body 10, or the second mounting 13. In use the base is held in place by a lock, such as a dual bi-lock.

- 30 As shown in plan view in Figure 2B, the lid includes an aperture 17A. A shutter 17B is mounted to the lid 17 via guide rails 17C. In use, the shutter is adapted to move between an open position, in which the shutter is located clear of the aperture 17A allowing security documents to be inserted there through, and a closed position shown by the dotted lines in



which the shutter 17B blocks the aperture 17A.

In one example, the shutter is urged towards the closed position by a biasing means (not shown), such as a spring or the like. The shutter is retained in the open position using a latch 17D. This configuration is designed such that when the latch is released as shown by the dotted lines in Figure 2A, the shutter 17B is automatically urged into the closed position to thereby prevent objects being inserted through the aperture. Furthermore, as the shutter is located inside the cavity 10A when the lid is fixed to the body 10, this ensures that the shutter can only be returned to the open position by removing the base 18 to gain access to the cavity 10A.

This ensures that once the shutter 17B is closed, access to the contents of the cavity 10A can only be achieved by removing the base 18.

The carrier 2 is adapted to be inserted into a loading station 4, allowing the carrier to be transported to an appropriate destination station 5 by the air tube system 3. An example of a typical air tube system configuration is shown in Figure 3. In this example, the air tube system includes a number of tubes 20 interconnected by a number of control stations 21A, 21B, ... 21G. At least one of the control stations 21F is coupled to a turbine 22 via a tube 20, with one or more of the control stations 21A-21G being further coupled to a number of loading stations 4A, 4B, ... 4I, and a number of destination stations 5A, 5B, 5C, via respective tubes 20.

The processing system 6 is adapted to control the operation of the control stations 21 and the turbine 22, to thereby route carriers 2 through the air tube system 3, as will be appreciated by persons skilled in the art.

An example of a suitable processing system 6 will now be described in more detail with respect to Figure 4. In particular, in this example, the processing system 6 includes a processor 30, a memory 31, an input/output (I/O) device 32, and an external interface 33, coupled together via a bus 34. The I/O device typically includes a display, such as a computer monitor or the like, a keyboard, and one or more other input devices such as a mouse, joystick, trackball or the like.

In use, the external interface is coupled to the control stations 21 (only three of which are shown for clarity), and the turbine 22, to allow their operation to be controlled. These connections may be achieved using a communications network, such as a wired to wireless LAN, or direct wired to wireless connections, as will be appreciated by persons skilled in the art.

Accordingly, by generating appropriate control signals in accordance with applications software stored in the memory 31, the processor 30 can control the operation of the control stations 21 to selectively transfer the carriers 2. This is achieved by controlling the operation of the turbine 22 to selectively pressurise and depressurise the tubes 20 by pumping air in to or out of the tube 20 connected to the control station 21F. It will be appreciated that this is typically achieved through the use of an appropriate control valve system which may be used to couple the turbine 22 to the respective tube 20, or may form part of the control station 21F, or alternatively may be achieved by modifying the operation of the turbine 22 directly.

In any event, it will be appreciated that the processing system 6 may be formed from any one of a number of suitable processing systems, such as a suitably programmed computer, specialised hardware, or the like.

In use, when the carrier 2 is inserted into the tube 20 at the loading station 4A, the drive rings 15 are adapted to form a seal with the inner surface of the tube 20. The processing system 6 then causes the turbine to pump air out of the respective tube 20, thereby drawing the carrier along the tubes 20, from the loading station 4A, to the control station 21A. A similar procedure is then used to transfer the carrier 2 via the control stations 21A, 21B, 21E, to the control station 21F. The processing system 6 then causes the turbine to pump air into the tubes 20, thereby transferring the carrier 2, via the control stations control stations 21F, 21G to the destination station 5A. It will be appreciated by persons skilled in the art that the Velcro 16 is used to correctly align the carrier 2 within the tubes 20 to ensure smooth transfer of the carrier through the tubes 20, in the normal manner.

It will therefore be appreciated that the air tube system 3 may be an existing air tube system

provided within a building or the like. Thus, for example, to allow the system to be integrated into existing air tubes systems, the carrier 2 is preferably dimensioned, and adapted to be used in existing tubes. Accordingly, the carrier typically has a length of between 360mm and 400mm and is adapted to travel in a tube having an inner diameter of 152mm and an outer diameter of 160mm. However, alternative tube systems can be used, in which case the dimension of the carrier may be adjusted accordingly.

In a gaming environment such as a casino, gaming tables such as the gaming table 40 shown in Figure 5, include a cavity 41 adapted to receive security boxes or the like. In use, the security box cooperates with a slot 42 provided in the table 40, to allow security documents, such as cash or gaming tokens, to be inserted through the slot 42 and into the security box. This ensures that once the security documents have been inserted through the slot into the security box, the documents cannot be removed without first removing the security box from the table. In existing gaming environments this is performed by security guards, who remove the security boxes to a remote secure location for opening and contents evaluation.

In this example of the present invention, the carrier 2 functions in a similar manner to the security box described above, and is therefore adapted to be mounted to gaming tables so as to allow security documents to be inserted directly through the slot 42 and the aperture 17A, into the carrier 2.

This may be achieved by providing appropriately configured gaming tables. In this case, it will be appreciated that each gaming table may correspond to a respective one of the loading stations 4, with the carrier being retained in the loading station 4 whilst it is being loaded with security documents through the slot 42 and the aperture 17A. Once the carrier 2 is loaded it can be removed from the table and transferred via the air tube system 3 to a destination station 5 in the manner described above. This obviates the need for any physical contact with the carrier 2, thereby reducing the opportunity for the carrier to be tampered with.

However, it will be appreciated that this requires the gaming table to be modified to act as a loading station 4, whereas it is advantageous to allow the carrier to be utilised with exiting

gaming tables 40. This may be achieved by using the optional docking station 1 mentioned above, together with a remote loading station 4, as will now be described. An example of a suitable docking station 1 is shown in Figure 6, and is formed from a body 45 that can be inserted into the cavity 41 in the same manner as a security box. This is typically achieved by use of runners or the like (not shown) mounted within the cavity 41, which cooperate with corresponding shoes or rails mounted on the body 45. In this case, the docking station 1 may be secured to the gaming table 40 to prevent removal therefrom. Thus, the docking station can form an integral part of the gaming table, or be provided as a separate element.

10 In any event, the body 45 includes a cavity 46 adapted to receive the carrier 2 in use. The cavity 46 and the carrier 2 are adapted so that the carrier must be inserted into the cavity in a pre-determined orientation. This may be achieved by the use of guides mounted in the cavity 46, which cooperate with appropriate recesses on the carrier 2, or the like. As a result, when the docking station 1 having a carrier 2 mounted therein is inserted into  
15 gaming table cavity 41, the aperture 17A aligns with the slot 41, allowing security documents to be inserted into the body 10 of the carrier 2 through the aperture 17A.

A lock 47 locks the carrier 2 into docking station body 45, thereby preventing unauthorised removal of the carrier 2 from the docking station 1. Furthermore, the docking station can  
20 be locked into the gaming table to prevent access to the cavity 46, using a lock 43 shown generally at 43.

In use, this provides a secure manner for transporting security documents. An example of this will now be described, with reference to transporting the documents from a gaming  
25 table in a gaming room, to a counting room, or the like.

Firstly, an empty carrier 2 having the shutter 17B in the open position is locked into the docking station 1, using the lock 47, as described above. The docking station 1 is then locked into the cavity 41 in the gaming table using the lock 43, as though it were a security  
30 box, in the normal manner.

During game play, the croupier or other member of staff will insert cash or other security documents through the slot 42 and the aperture 17A, into the carrier 2. At a suitable stage,

such as when the carrier 2 is near full, or at the end of play, the lock 43 is unlocked, allowing the docking station 1 to be removed from the gaming table cavity 41. If the docking station 1 forms an integral part of the gaming table 40, it will typically not be possible to completely remove the docking station 1 from the cavity 41, thereby ensuring  
5 the docking station remains secure.

During this process, the latch 17D will cooperate with an actuator, so that as the carrier is removed from the gaming table 40, the latch is released, thereby causing the shutter to close, and prevent further access to the cavity 10A, through the aperture 17A.

10

The lock 47 is unlocked allowing the carrier 2 to be removed from the docking station 1, and carried by the croupier, or other member of staff to a loading station 4A located within the gaming room. In general, a respective loading station 4 will be provided for each few gaming tables, such that each table is close to a loading station 4.

15

The carrier 2 is then transported by the air tube system 3 to the desired destination station 5A, 5B, 5C, which in this case is contained in a counting room. This is controlled using the processing system 6, allowing the transfer of the carriers 2 to be controlled centrally. This allows the cash to be securely transported from the gaming room to the counting room, via

20 the air tube system 3.

It will be appreciated that the croupier has only a short distance to travel to the loading station 4 thereby reducing the risks of attempted theft. Furthermore, the carrier 2 is then transported out of sight of the public, in the air tube system, to help further prevent theft,  
25 and thereby reducing or even removing the need for security guards.

The presence of the aperture 17A, and the lockable base 18, prevents the croupier from removing the cash or other security documents from the carrier 2, with enhanced security being provided by the use of the shutter 17B.

30

Once removed from the gaming table 40, access to the cavity 10A and the security documents contained therein can therefore only be achieved by operation of the dual bi-lock, to remove the base 18. The dual bi-lock requires the presence of two different keys to

undo the lock and release the base 18. Accordingly, it is preferred that two individuals are provided with respective ones of the keys, such that the presence of both individuals is required within the counting room, to remove the cash from the carrier. Accordingly, once inserted into the slot 42 in the gaming table 40, the cash can only be accessed by the two  
5 individuals in the counting room.

Once the security documents have been removed, the shutter can be manually returned to the open position and the base 18 replaced, thereby allowing the carrier 2 to be reused.

- 10 In order to prevent the carrier being broken, the body 10 is preferably formed from a toughened material such as high impact polymers, metal or the like. Generally the material should have high impact resistance, dimensional stability, high mechanical strength, and surface hardness. Accordingly, aluminium alloys, acetals, or the like may be used.
- 15 It is also important that the carrier 2 satisfies predetermined weight requirements to allow the carrier to be used within existing air tube systems. This therefore further limits the materials that can be used in constructing the carrier 2.

#### Additional Functionality

- 20 A further security measure is the provision of a tracking system. This can be achieved in a number of manners. Thus for example, each carrier may be provided with a location positioning system such as GPS and a transmitter which periodically transmits the location of the carrier 2, together with a unique identifier, to a central receiving base station, such as the processing system 6. This allows the location of each carrier 2 to be tracked, so that if  
25 an attempt to steal a carrier 2 is made, the carrier 2 can be located.

- However, the requirement for the carrier to include processing electronics and a transmission system requires a relatively high transmission power, which is undesirable for a number of reasons. Thus, for example, the power requirements make the transmission  
30 system relatively complex and weighty, thereby increasing the weight of the carrier 2, and in turn reducing the load capabilities of the carrier 2.

An alternative tracking system is formed from a number of detectors 23 coupled to the

processing system 6, via the external interface 33, as shown in Figure 7. In one example, the detectors are mounted in the control stations 21, and are adapted to detect the passage of the carriers 2 through the control station 21, allow the processing system 6 to determine the location of the carrier 2 within the air tube system 3. It will therefore be appreciated that the connection between the control stations 21, the detectors 23, and the processing system 6 can be implemented using a common network or integrated connections. In particular, each control system may include a processor for performing some monitoring and control functionality locally.

10 In this case, each carrier 2 may be fitted with an identifier adapted to be detected by the detectors 23. An indication of this identifier is used by the processing system to allow the processing system 6 to determine the location and identity of carriers 2.

The nature of the detectors 23 and the identifier will depend on the respective implementation. Thus, for example, a shorter range radio system can be used, with each carrier would have a respective transmitter adapted to transmit a signal that can be received by detectors including appropriate antennas. As the carrier 2 passes by a detector 23, the antenna detects the signal, allowing the position of the carrier to be determined. In this case, the transmitter can be adapted to transmit a predetermined code which is then relayed to the processing system 6, to allow the processing system 6 to uniquely determine the carriers identity.

Alternatively, the identifier may be in the form of appropriate RFID (Radio Frequency ID) tags provided in the carrier 2, as shown at 24. In this case, the detectors 23 are formed from appropriate transceivers adapted to communicate with the RFID tag, to enable an indication of the identifier to be determined, as will be appreciated by a person skilled in the art.

When a carrier passes by a detector 23, the transceiver will query the RFID tag and determine the identifier therefrom, with an indication of this being transferred back to the processing system 6. In this case, the communication between the detectors 23 and the RFID tag can be achieved wirelessly, with power being transferred inductively to the RFID tag to allow any on board processing electronics to be powered by an external power source. It will be appreciated that this allows the RFID tag to be small, lightweight, cheap

and substantially maintenance free.

Alternative means, such as optically or magnetically encoding information on the carrier body 10, for example by using barcodes, or the like. In this case, the detector 23 is provided in the form of an appropriate optical or magnetic detector, such as a barcode reader.

Alternatively, or additionally, the detectors 23 can be mounted at various locations along the length of the tubes 20, as shown in Figure 3. This allows the processing system 23 to monitor the location of the carriers between the control stations 21. The detectors, can also be directional, allowing the direction of the travel, or position of the carrier 2 along the length of the tube 20 to be determined.

Alternatively, or additionally, detectors 23<sub>4</sub> can be provided on the loading stations 4 to determine when a carrier has been inserted into the loading station 4, thereby allowing the processing system 6 to activate the air tube system 3, to cause the carrier to be transferred.

It will be appreciated that by suitable positioning of the detectors 23, 23<sub>4</sub> allows the position of the carriers 2 to be uniquely determined. Furthermore, a monitoring system, such as a suitably programmed processing system, can be provided to monitor passage of the carriers 2, to thereby detect if any carriers become diverted, stuck, or go missing. This will allow the location of the disappearance to be identified, thereby helping trace any missing carriers 1.

In general, the processing system may be provided as part of the system that operates the control stations 22 to route the carriers 2 through the tube 20 in accordance with normal routing protocols. Accordingly, a separate processing system may be provided for additional security.

It will be appreciated that in the short-range transmission system, passive wireless transmission can be used, in which the detectors generate a carrier signal that is used to power a radio transceiver in the carrier 2. This allows data to be backscatter modulated to the detectors 23 without requiring the presence of an additional power source in the carrier



2, as will be appreciated by persons skilled in the art.

In the above-mentioned examples, each carrier may be adapted to transmit a coded identifier code to the processing system 6, either directly, or via the detectors 23, allowing  
5 each carrier 2 to be uniquely identified. This further helps monitor the location of the carriers 1, thereby further improving security.

For example, this can be used to record an audit trail so that the passage of each carrier 2 through the system is recorded and stored in a database (not shown). In this case, the record  
10 of the carrier movements can be stored in an encrypted fashion together with associated time stamps, to thereby prevent the data being subsequently manipulated. This allows the carrier movements to be uniquely determined at any time in the future, such that should a theft occur, the location of the respective carrier during the time of theft may be determined.

15

In addition to this, the use of unique identifiers allows the carriers 2 to be directed automatically through the tube system 1 to selected destination stations 5 as required.

A further modification that can be made is for the processing system 6 to be adapted to  
20 monitor the time taken for the carriers to be transferred between respective detectors 23. In this case, the processing system 6 would monitor the time taken for a carrier 2 to travel between any two detectors within the air tube system 23, by monitoring for the passage of the carrier passed respective detectors 23. The time taken is compared to a predetermined threshold representing the time that the transfer should take. This threshold can be based  
25 on the geometry of the tubes 20 and the relative positioning of the detectors 23, or can be determined in accordance with testing performed using appropriate test carriers 2. The threshold will typically be stored in the memory 21, or may alternatively be provided in a remote database, as will be appreciated by persons skilled in the art.

30 In any event, in this example, if the carrier takes longer than the predetermined threshold to travel between successive detector locations, this indicates either that there is a fault with the system, or that the carrier 2 has been tampered with during transit.

It will be appreciated that this can be used to alert security personnel should an attempted theft occur. This can be achieved in a number of manners. Thus, for example, an indication of the exceeding of the respective threshold could be displayed on the I/O device 33 provided with the processing system 6. This allows an operator to signal an alarm, or  
5 contact security personnel, or the like.

Alternatively, the processing system 6 can be coupled to one or more remote communications devices, such as pagers, or the like, via an appropriate communications system. This allows the processing system 6 to alert the security personnel directly through  
10 the use of pagers, SMS communications to mobile phones, or the like. This ensures that the security personnel are notified as soon as a problem occurs.

During the above mentioned process, when the processing system 6 detects the location of a carrier 2 the processing system 6 can determine the carrier identifier and the location of the  
15 respective detector 23, and record this in the memory 21. This allows a log of all carrier movements to be stored in the memory 21, as will be appreciated by persons skilled in the art.

The carrier identifier can also be used to control routing of the carriers through the air tube  
20 system 3. Thus, for example, a carrier with a specific identifier may be associated with a respective gaming table 40, and hence with respective loading and destination stations 4, 5. In this instance, when the carrier is loaded into the respective loading station 4, the processing system 6 detects the respective carrier identifier, and determines the intended destination station 5 from an LUT (Look Up Table) stored in the memory 21. In this case,  
25 the carrier will be routed to the destination station in the manner described above, with the processing system 6 monitoring the transfer to detect any tampering or routing faults.

The carrier will be delivered to the respective destination station 5 which may be incorporated into a counting room, allowing the security documents to be removed and  
30 counted. The carrier can then be inserted into the respective destination station 5 and returned to the respective loading station 4, allowing it to be reused.

To provide further enhanced security, the loading stations 4, and optionally the destination

stations 5 can be provided with a cover which needs to be removed in order to allow the carrier to be inserted into the loading station. An example of this is shown in Figure 8. In this example, the loading station 4 includes a body 50, having a cover 51 pivotally mounted thereto as shown at 52. The body 50 and the cover 51 cooperate to define a cavity 53 when the cover 51 is in a closed position shown by the dotted lines. The cavity is coupled at one end to a tube 20, allowing the carrier 2 to be inserted into the cavity 53, and the drawn into the tube when the air is pumped out.

In this example, a detector 23<sub>4</sub> is incorporated into the loading station 4, to allow the processing system 6 to determine when the cover 51 is opened. This allows the processing system 6 to initiate timing of the transfer of the carrier through the air tube system, as described above. It also allows an alert to be generated in the event that no carrier is inserted into the loading station 4, or if the cover 51 is left open.

A further development is for the gaming table to incorporate a detector 23<sub>40</sub>, to allow the presence of a carrier within the docking station 1 to be monitored. In this case, the processing system 6 can use signals from the detector 23 provided in the gaming table to determine when the carrier 2 is removed therefrom. It will be appreciated that this allows the processing system to monitor for insertion of the carrier 2 into the loading station 4 associated with the respective gaming table.

Again, the processing system 6 is typically adapted to monitor the time taken to perform this procedure and generate an alert, allowing security to check the location of the carrier 2, if a predetermined time limit is exceeded.

It will be appreciated that in the event that the carrier 2 has been tampered with or stolen, this will minimise the time between this occurring and an alert being generated.

A number of other variations on the above are also possible. For example, the processing system 6 can be adapted to provide an indication of the current location of all carriers within the system. This can be provided in the form of a system map which shows the current location of the control stations 21 in a 3-dimensional image representation generated from data stored in the memory 21. In this example, different status indications

can be associated with respective carriers 2 or control stations 21 the representations indicating the current status and function of the respective element. Thus, for example, if a carrier 2 is currently in use this can be indicated a first colour and a second colour provided if the carrier 2 is delayed or tampered with.

5

### Specific Implementation

An example of a specific implementation of the invention incorporating a number of the features described above will now be described with reference to the flow charts shown in the Figures 8A and 8B.

10

At step 100 an empty carrier 2, with an open shutter 17B, is locked into a respective docking station 1 which is then locked into a gaming table 40. At step 110 the detector 23<sub>40</sub> transfers an indication of the carrier ID to the processing system 6. The processing system 6 will typically store an indication of the carrier ID, together with the time and date of

15 insertion in a log.

At step 120 security documents are placed in the carrier through the slot 42. Once the carrier 2 is full, or at a predetermined time, the docking station is unlocked removed from the gaming table 40 at step 130. This action causes the latch 17D to cooperate with an

20 appropriate actuator on the gaming table, thereby causing the shutter 17B to close.

At step 140 the carrier 2 is removed from the docking station 1 and the cover 51 opened to allow the carrier 2 to be inserted into the cavity 53 of the loading station 4 at step 150.

25 During this process, at step 160, the detector 23<sub>40</sub> transfers an indication of carrier removal to the processing system 6. The processing system uses the carrier ID to access a carrier loading time interval, which is typically stored in the memory 21. This loading time interval represents the maximum amount of time that should be required to insert the carrier into the loading station. At step 180, the processing system 6 monitors the time taken to

30 insert the carrier into the loading station in accordance with signals obtained from the detector 23<sub>4</sub> at step 150.

If at step 190 the processing system 6 determines that the loading time interval has been

exceeded and the carrier 2 has not been loaded, then the processing system 6 generates an alert at step 200. As described above, this alert may be an indication on the I/O device 32, or may be provided directly to security personnel via remote communications devices.

- 5 If the loading time interval has not been exceeded, then the process continues to step 210, allowing the cover to be closed and an indication of this to be transferred to the processing system 6.

During this process, the detector 23<sub>4</sub> also transfers an indication of the cover opening time  
10 to the processing system 6, at step 220. At step 230, the processing system 6 obtains a cover open time interval, for example from a suitable LUT stored in the memory. The cover open time interval indicates the maximum duration for which the cover 51 should remain open during the loading process. Again, this is monitored by the processing system 6 at step 240, such that if it is determined that the cover open interval is exceeded, an alert can  
15 be generated at step 260. The cover 51 may be optionally automatically closed at this point.

At step 270 the processing system 6 accesses route data stored in the memory 21 to determine a respective transfer route. In particular, this is achieved in accordance with the  
20 carrier ID and it would therefore be appreciated that routes are programmed into the processing system 6 for each carrier associated with the system. This ensures that carriers are only transferred between respective locations there by helping to ensure that carriers are always transferred to the correct destination station 5. This also ensures that employees, such as the croupiers have no control over the route of the carriers.

25

This is important, as carriers from different regions will need to be transferred to different destination stations 5 depending on the respective security documents contained therein. Thus, for example, a 2 carrier obtained from a high roller table may need to be transferred to a more secure counting room due to the larger value of the security documents contained  
30 therein. Alternatively, this may be achieved by routing carriers in accordance with the respective loading station 4 into which the carrier 2 was loaded.

At step 280 the processing system 6 controls the control stations 21 and the turbine 22 to

transfer the carrier to in accordance with the predetermined route. At step 290 the processing system 6 monitors signals from the detectors 23 to determine the current position of the carrier 2, and in particular the time taken for the carrier 2 to travel between subsequent detectors in the air tube system.

5

The processing system 6 then determines a carrier transfer time interval. This is usually achieved by accessing the route data using an indication of the last detector 23 passed, to determine the time until the next detector is reached.

10 The processing system 6 monitors the time taken to travel between the detectors 23, and if it is determined that the transfer time interval is exceeded at step 310, an alert is generated at step 320, substantially as describe above.

15 Otherwise, the processing system 6 operates to determine if the carrier has reached the destination station 5 at step 330. If not, the process returns to step 290, to allow monitoring of the carrier 2 to be continued.

At step 340, once the respective destination station 5 is reached, the carrier is removed from the destination station 5 and the dual bi-lock opened using both keys to allow the security  
20 documents contained in the carrier to be removed and evaluated.

At step 350 the shutter 17B is opened and the base 18 replaced and locked. The carrier 2 is then placed in the destination station 5 at step 360, and automatically transferred to the respective loading station 4 under control of the processing system 6, in a manner similar to  
25 that described above. Accordingly, it will be appreciated that the carrier is now available for reuse.

Accordingly, the present invention provides a system, which allows security documents to be transferred in high-risk environments and under secure conditions and without the need  
30 for security guards.

This allows the system to be used in a wide range of locations in which security documents need to be transferred, and in which it is desirable to provide secure transfer without need

for a large number of security guards.

Thus, for example, the system can be implemented in a racing environment, allowing bookmakers and turf clubs to receive cash and then transfer this to a secure location. In this  
5 example, it will be appreciated that each bookmaker may use respective carriers allowing their takings to be uniquely tracked and identified, thereby ensuring that security documents from different bookmakers are not mixed up, even though they are transferred using a common system.

10 In this regard, the system can be fitted into environments where a number of pitches, or locations are selectively used. This is achieved by providing an open ended tube 20 where loading stations 4 may be required. In this case, when a respective location is used, a loading station 4 can be coupled to the tube 20, with the detector 23<sub>4</sub> being used to ensure that monitoring of carriers inserted into the system is correctly achieved.

15

It will be appreciated that in this example, as gaming tables are not provided, the docking stations are not required. However, in this example, the loading stations may be modified to include a slot adapted to cooperate with the aperture 17A on the carrier 2. In this case, security documents can be inserted into the carrier without the need to remove the carrier  
20 from the loading station. As a result, the cover 51 can be replaced with a sealed body such that the carrier 2 can not be removed from the system at the loading station 4.

In this example, empty carriers 2 would be transferred from a destination station 5 in a counting room and transferred to the loading station. The security documents can be  
25 inserted into the aperture 17A, through a slot in the loading station 4, with the carrier being automatically returned to the destination station 5, either in accordance with input commands, or at a predetermined time. A replacement carrier can then be provided at the loading station 4, to allow further security documents to be loaded, whilst the contents of the first carrier 2 are removed. It will be appreciated that this further enhances the security  
30 of the system by preventing any individuals, other than those in secure locations, from coming into physical contact with the carriers 2.

In addition to the functionality described above, it is also possible to arrange for security

document counters to be positioned in a location adjacent the aperture 17A in use. Thus, for example, a bank note validation and/or counting machine may be positioned adjacent the aperture 17A to allow bank notes inserted into the carrier 2 to be counted and/or validated.

5

Thus, in the example of the gaming table, the bank note machine could be positioned between the slot 42 and the aperture 17A to count bank notes inserted into the carrier 2. An indication of the total value of the banknotes inserted into the carrier can then be communicated to the processing system 6 and stored together with the carrier ID of the  
10 respective carrier, obtained from the detector 23<sub>40</sub> which may form part of the bank note machine. In any event, it will be appreciated that this allows a number of additional security checks to be performed, in particular, to validate the value of the contents of each carrier.

15 Persons skilled in the art will appreciate that numerous variations and modifications will become apparent. All such variations and modifications which become apparent to persons skilled in the art, should be considered to fall within the spirit and scope that the invention broadly appearing before described.



## THE CLAIMS DEFINING THE INVENTION ARE AS FOLLOWS:

- 1) Apparatus for transferring security documents, the apparatus being formed from a carrier adapted for use in an air tube system, the air tube system having a tube for transporting the carrier from a source to a destination, the carrier including:
  - 5 a) A substantially cylindrically shaped housing defining an internal cavity, the housing being adapted to cooperate with the tube in use, to allow the carrier to be transported;
  - b) A lid coupled to a first end of the housing, the lid including an aperture adapted to allow documents to be inserted into the cavity in use;
  - 10 c) A base removably mounted to a second end of the housing opposite the first, the base being removable to allow access to the cavity; and,
  - d) A lock for retaining the base in place.
- 2) Apparatus according to claim 1, the lock is formed from a dual key bi-lock mechanism.
- 3) Apparatus according to claim 1 or claim 2, the aperture being formed from a narrow  
15 slot.
- 4) Apparatus according to any one of the claims 1 to 3, the carrier including:
  - a) A shutter movably mounted to the lid, the shutter being adapted to move between an open position, and a closed position in which the aperture is blocked;
  - b) A shutter opening system positioned in the cavity, and being adapted to be activated  
20 manually to thereby allow the shutter to be opened, the ; and,
  - c) A shutter closing mechanism, the shutter closing mechanism being provided on the outside of the housing, and being adapted to be activated once security documents have been inserted into the cavity.
- 5) Apparatus according to claim 4, the carrier being adapted to be positioned in a docking  
25 station, to allow the carrier to be positioned in a gaming table in use, with the aperture aligned with a game table slot.
- 6) Apparatus according to claim 5, the gaming table including an actuator for activating the shutter closing mechanism when the carrier is removed from the gaming table.
- 7) Apparatus according to any one of the claims 1 to 6, the carrier including an identifier,  
30 the air tube system including a number of detectors positioned at respective locations in the air tube system, the detectors being adapted to:
  - a) Detect the identifier of carriers traversing the location; and,

- b) Transfer an indication of the identifier to a controller adapted to determine the location of the carrier in accordance with the detector and the identifier.
- 8) Apparatus according to claim 7, the carrier including a communications system for transferring an indication of the identifier to the detector.
- 5 9) Apparatus according to claim 8, the transmitting system being formed from an RFID system.
- 10) A docking system for receiving a carrier for transporting security documents, the carrier having a cylindrically shaped housing having an aperture in a first end for receiving the documents, the docking system including a substantially cylindrical shaped cavity adapted to receive the carrier, the docking system being adapted to cooperate with a gaming table such that documents inserted through a gaming table slot are received by the carrier aperture.
- 10 11) A docking system according to claim 11, the docking system including a locking mechanism, the locking mechanism being adapted to selectively retain the carrier in the cavity.
- 15 12) A docking system according to claim 11 or claim 12, the docking system being adapted to cooperate with an aperture in the gaming table, to allowing the docking stations to be moved from an open position in which the cavity is accessible to allow the carrier to be inserted therein, and a closed position in which the carrier aperture is aligned with the slot.
- 20 13) A docking station according to any one of the claims 10 to 12, the cavity including one or more guides, the guides being adapted to cooperate with one or more carrier guides mounted to carrier to thereby align the carrier in the cavity.
- 14) A docking system according to claim 10, the docking system being adapted to receive a carrier according to any one of the claims 1 to 9.
- 25 15) A controller adapted for use in an air tube system, the air tube system including a number of controlling stations for selectively transferring carriers along interconnecting tubes between loading stations and destination stations, and one or more detectors for detecting the position of the carrier within the tubes, the controller being adapted to:
- 30 i) Cause the controlling stations to transfer a carrier loaded at a respective loading station to a destination station;
- ii) Monitor signals from the detectors to determine the changes in the position of carriers;

iii) Compare the changes in the position of each carrier to predetermined criteria;  
and,

iv) Generate an indication in response to the comparison.

16) A controller according to claim 15, each carrier including a respective identifier, the  
5 detectors being adapted to determine the respective identifier of each carrier and  
transfer an indication of this to the controller, being responsive to the identifier and the  
respective detector to determine the position of the respective carrier.

17) A controller according to claim 16, the controller being adapted to transfer the carrier  
between respective loading and destination stations in accordance with the respective  
10 identifier.

18) A controller according to any one of the claims 15 to 17, the controller including:

a) A store for storing the predetermined criteria in the form of predetermined  
thresholds; and,

b) A processor coupled to the store, the processor being adapted to:

15 i) Determine the current position of the carrier;  
ii) Store an indication of the current position in the store;  
iii) Compare the current time taken to move between subsequent positions to the  
selected ones of the predetermined thresholds stored in the memory; and,  
iv) Generate an alert in accordance with an unsuccessful comparison.

20 19) A controller according to claim 18, the alert being at least one of an audible or visual  
alert.

20) A controller according to claim 19, the predetermined threshold being selected in  
accordance with the most recent position of the carrier.

21) A controller according to any one of the claims 18 to 20, the processor being further  
25 adapted to store an indication of the carrier positions together with an associated time  
indication in the store.

22) An air tube system for transferring security documents, the air tube system including:

a) A number of tubes;

30 b) One or more loading stations coupled to the tubes, the loading stations being  
adapted to allow carriers including security documents to be loaded into the tubes;

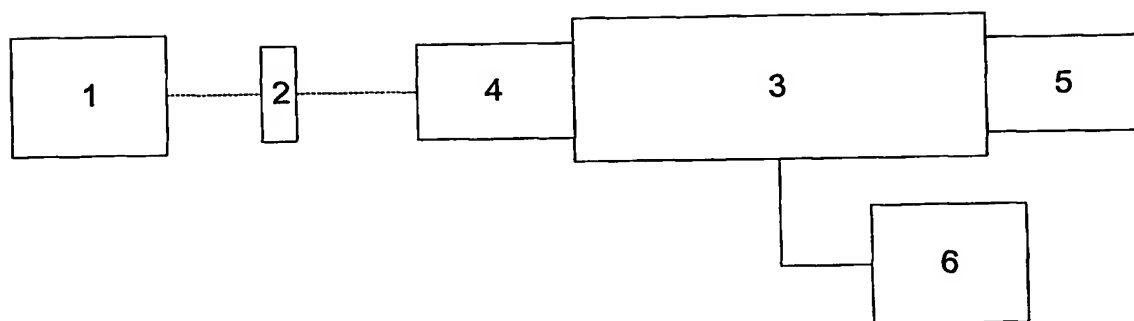
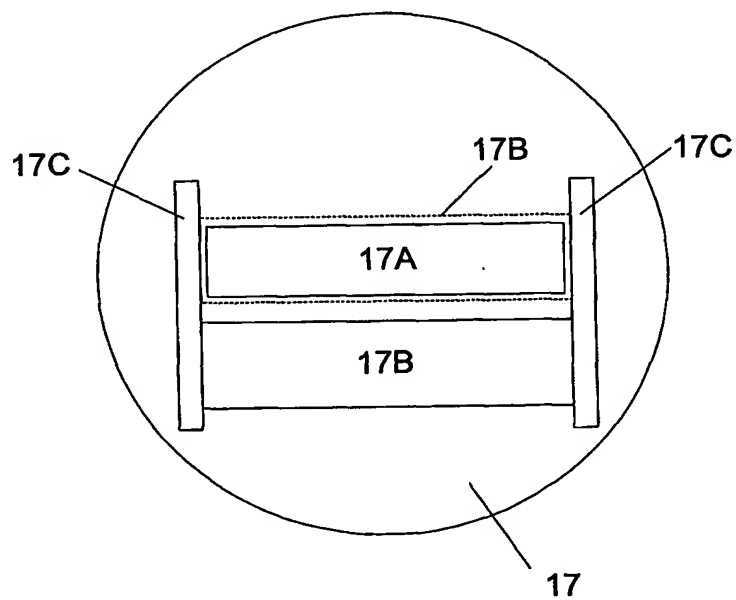
c) One or more destination stations coupled to the tubes, the destination stations being  
adapted to receive carriers from the tubes;

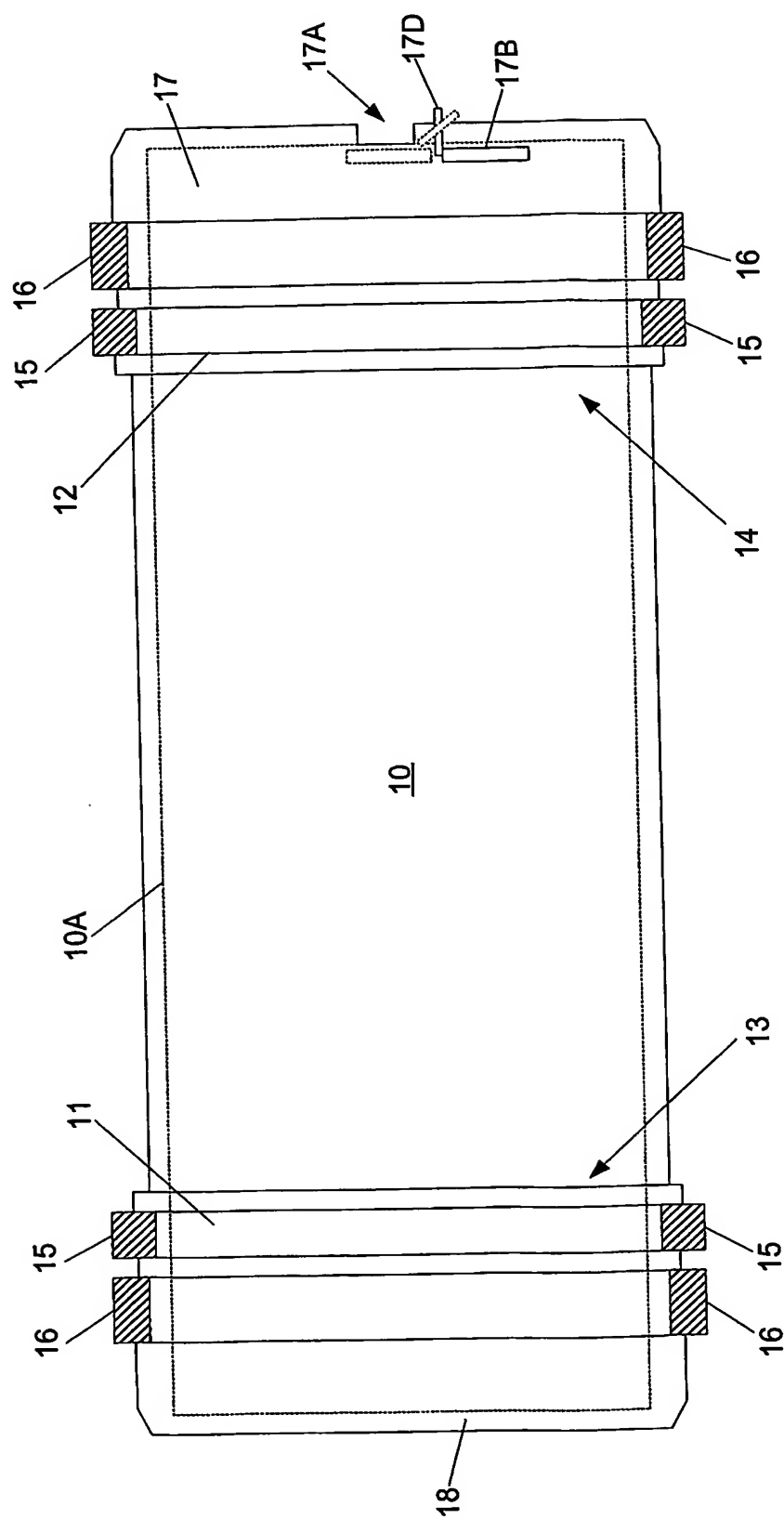
d) A pump for pumping into, or out of selected ones of the tubes;

- e) One or more controlling stations for selectively interconnecting the tubes;
- f) A number of detectors for detecting the position of the carrier within the
- g) A controller coupled to the controlling stations and the detectors, the controller being adapted to:
  - 5 i) Cause the controlling stations to transfer a carrier loaded at a respective loading station to a destination station;
  - ii) Monitor signals from the detectors to determine the changes in the position of carriers;.
- 23) An air tube system according to claim 22, the controller being further adapted to:
  - 10 i) Compare the changes in the position of each carrier to predetermined criteria; and,
  - ii) Generate an indication in response to the comparison.
- 24) An air tube system according to claim 23, the controller being a controller according to any one of the claims 15 to 21.
- 15 25) An air tube system according any one of the claims 22 to 24, the air tube system being adapted to transfer a carrier according to any one of the claims 1 to 9.
- 26) A system for transferring security documents, the system including:
  - a) A carrier according to any one of the claims 1 to 9, the carrier being adapted to receive security documents in use; and,
  - 20 b) An air tube system according to any one of the claims 22 to 25, the air tube system being adapted to transport the carrier from a source to a destination.
- 27) A system according to claim 26, the system being adapted for use in a gaming environment, the system further including a docking station according to any one of the claims 10 to 14.
- 25 28) A method of transferring security documents from a source to a destination, the method including:
  - a) Loading the security documents into a carrier;
  - b) Transferring the carrier from a loading station to a destination station via an air tube system;
  - 30 c) Detecting the position of the carrier within the air tube system;
  - d) Compare the position of the carrier within the air tube system to predetermined criteria; and,
  - e) Generate an indication in accordance with the results of the comparison.

- 29) A method according to claim 28, the method being performed using the carrier of any one of the claims.
- 30) A method according to claim 28 or claim 29, the method being performed using a controller according to any one of the claims for controlling the air tube system.

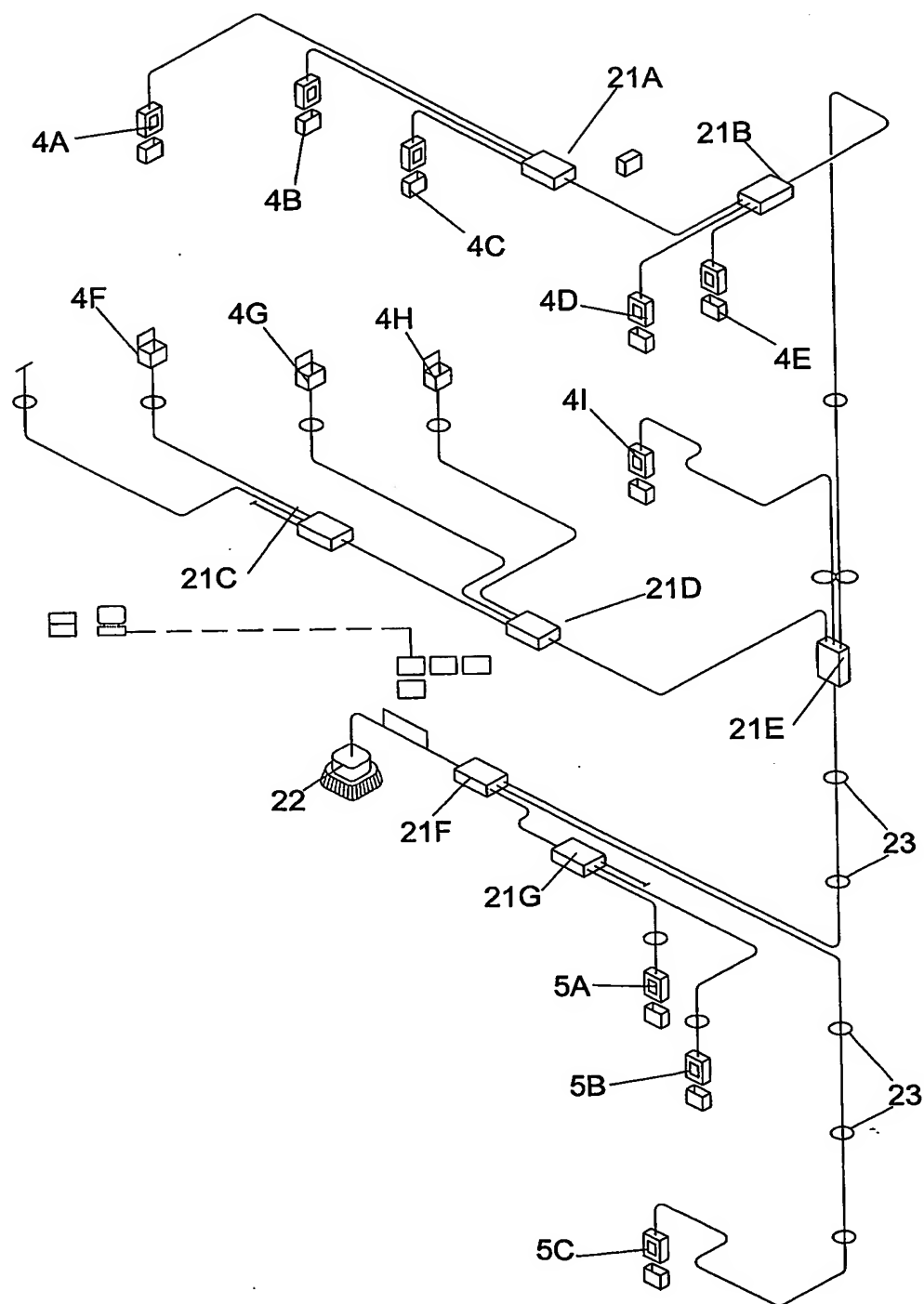
1/9

**Fig. 1****Fig. 2B**



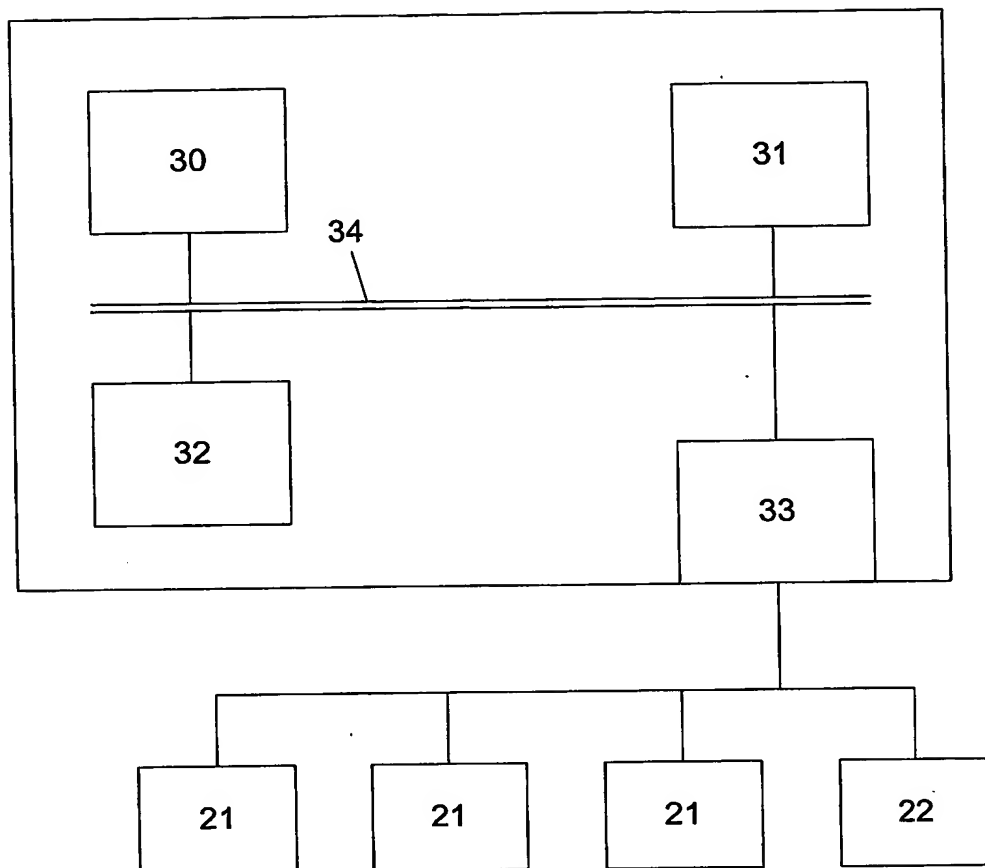
**Fig. 2A**

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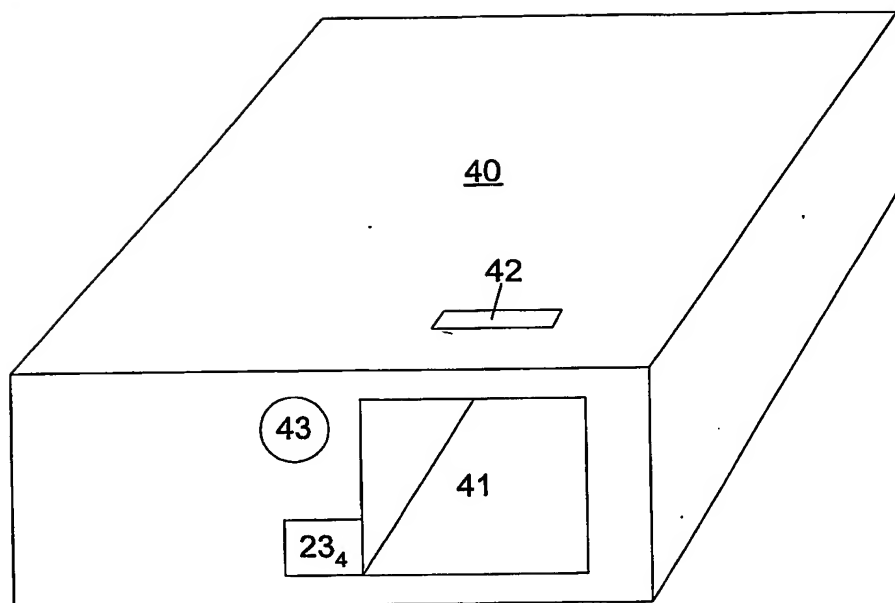
**Fig. 3**



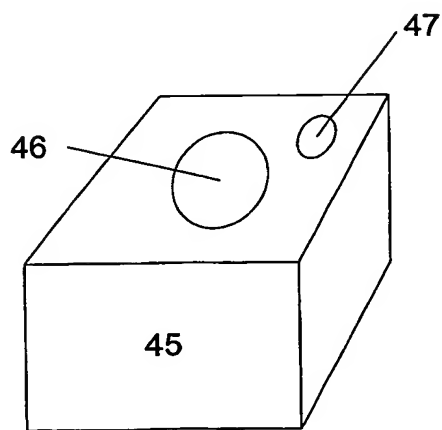
4/9

**Fig. 4**

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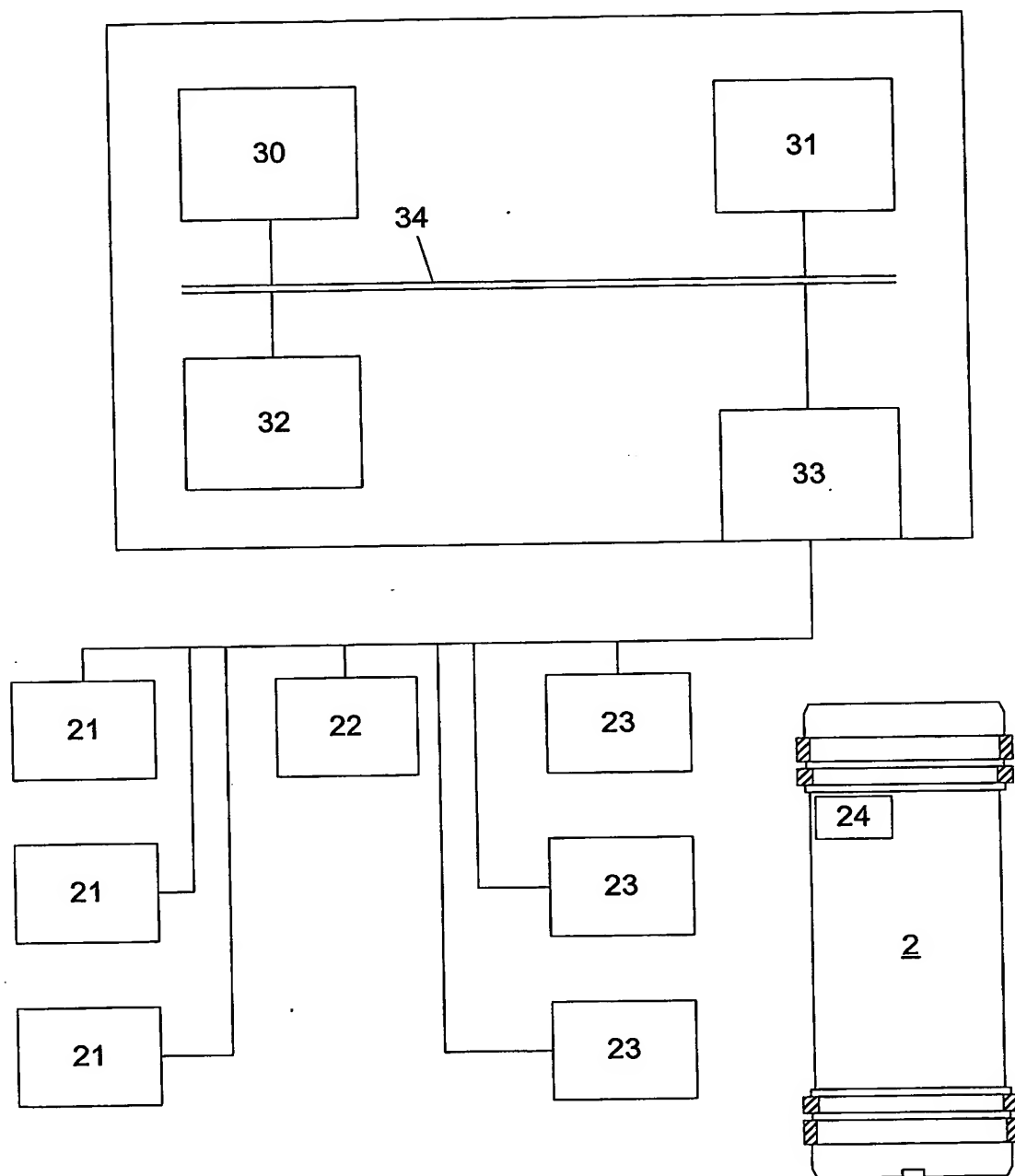


**Fig. 5**

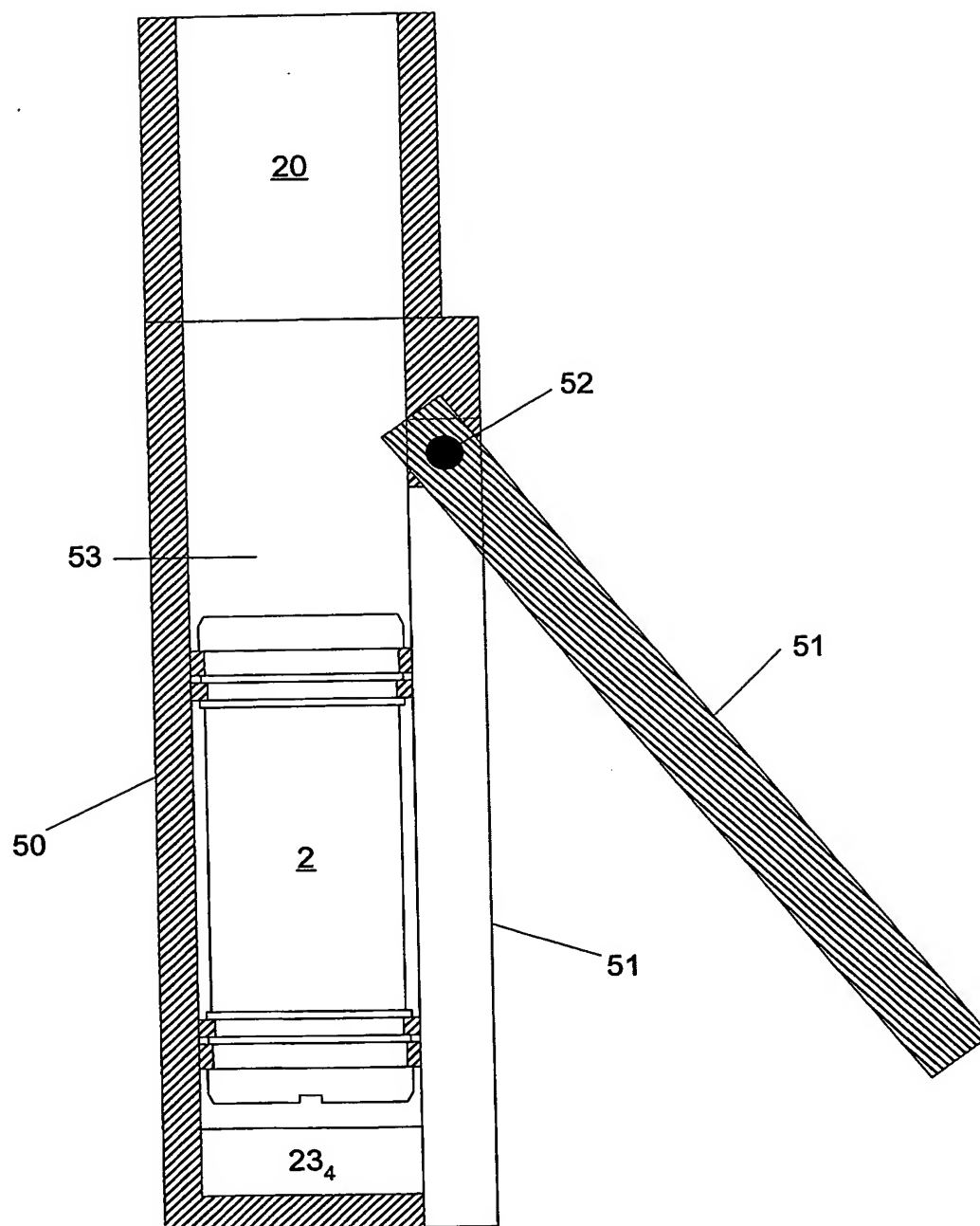


**Fig. 6**

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**Fig. 7**

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**Fig. 8**

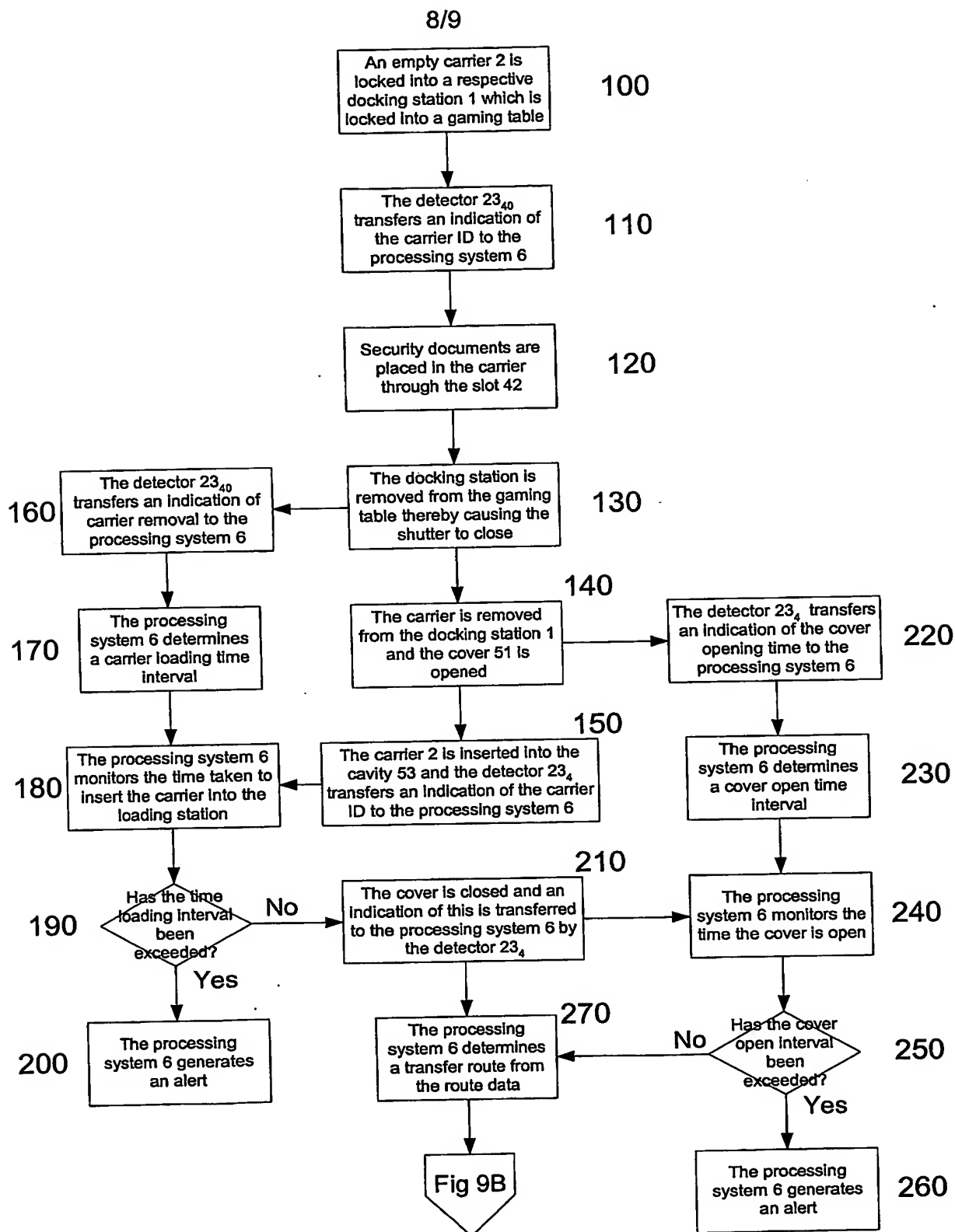


Fig. 9A

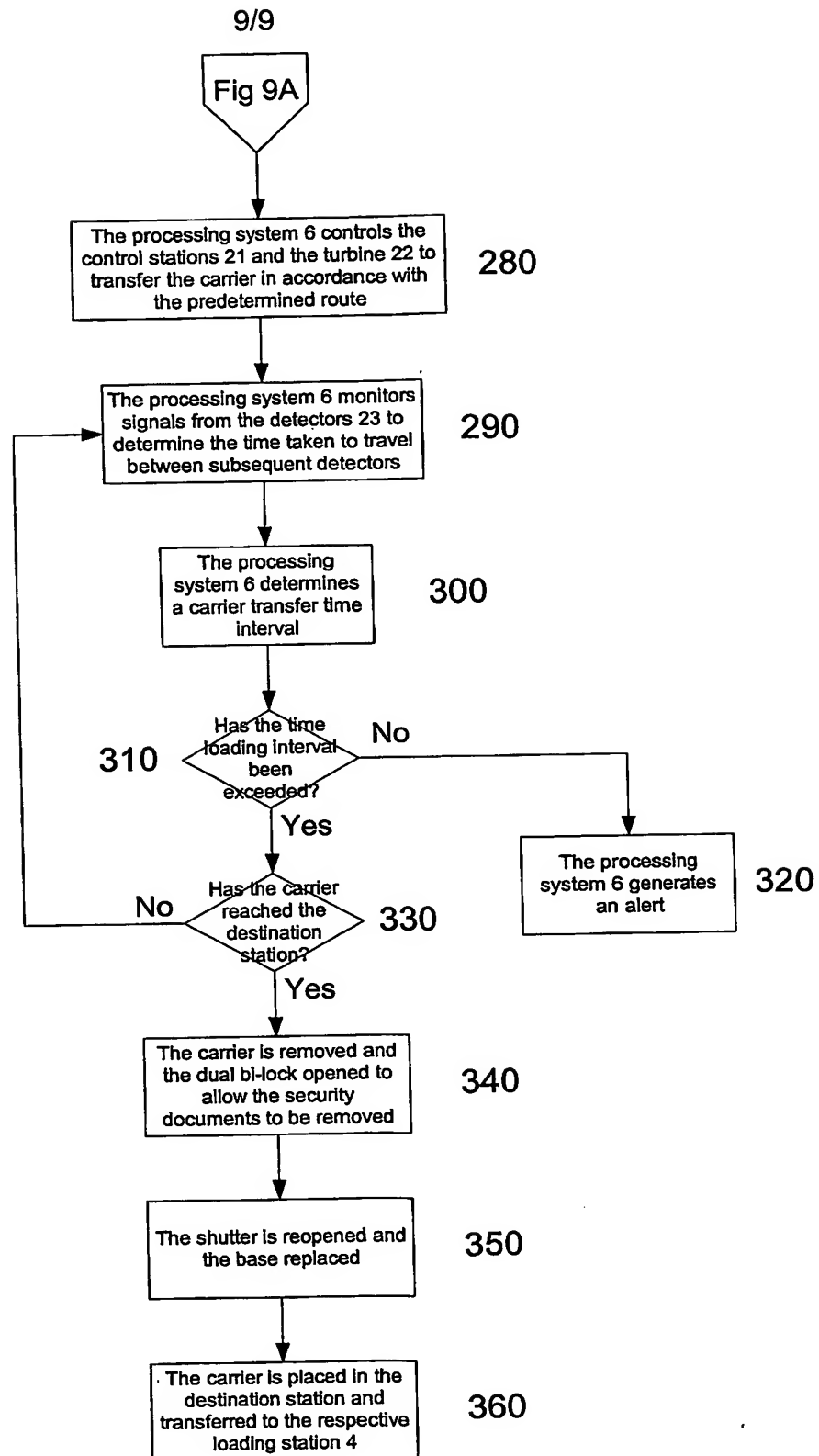


Fig. 9B

## INTERNATIONAL SEARCH REPORT

 International application No.  
**PCT/AU03/00699**
**A. CLASSIFICATION OF SUBJECT MATTER**Int. Cl. <sup>7</sup>: B65G 51/04, 51/06, 51/36, 51/42

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

 Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)  
 WPAT : B65G 51/04, 51/06, 51/36, 51/42 and keywords (lid, aperture, lock+, load+, position, detect+, control+, signal+)
**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X Y	US 4273478 A (CEDERGREN) 16 June 1981 See Fig. 1 Column 1 lines 35-61	1, 2 25, 26
X Y	EP 878423 A1 (ERGOTRANS) 18 November 1998 Column 1 lines 29-36 and column 5 lines 9-16 Column 1 lines 6-10	1, 2 25, 26
X  Y	DE 3332452 A1 (ERGON TRANS B.V.) 8 March 1984 See Figure 3 and Claims	15-17, 22-24, 28, 30 25, 26, 29

☒ Further documents are listed in the continuation of Box C☒ See patent family annex

- \* Special categories of cited documents:
- "A" document defining the general state of the art which is not considered to be of particular relevance
- "E" earlier application or patent but published on or after the international filing date
- "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- "O" document referring to an oral disclosure, use, exhibition or other means
- "P" document published prior to the international filing date but later than the priority date claimed
- "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
- "&" document member of the same patent family

Date of the actual completion of the international search  
30 July 2003Date of mailing of the international search report  
18 AUG 2003
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 AUSTRALIAN PATENT OFFICE  
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## INTERNATIONAL SEARCH REPORT

International application No.

PCT/AU03/00699

## C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X Y	FR 2508668 A1 (MULLER) 31 December 1982 See Claims	15-24, 28, 30 25, 26, 29
X Y	WO 01/51392 A1 (SNEF COTE D'AZUR S.A.) 19 July 2001 Figures 1 & 2 and Claims	22 25, 26
X Y	Derwent Abstract Accession No. 87-141999/20, Class Q35, SU 1260311 A (ROST ROSTSELMASH) 30 September 1986 Abstract	1, 3 25, 26
X Y	Derwent Abstract Accession No. 92-014845/02, Class Q35, SU 1643352 A (TRANS PROGRESS) 23 April 1991 Abstract	15, 22-24, 28, 30 25, 26, 29
A	US 4470730 A (WUTHRICH) 11 September 1984	
A	AU 78397/98 A1 (NSL INVESTMENTS PTY LTD) 4 February 1999	



INTERNATIONAL SEARCH REPORT

International application No.

PCT/AU03/00699

**Box I Observations where certain claims were found unsearchable (Continuation of item 2 of first sheet)**

This international search report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☐ Claims Nos :  
because they relate to subject matter not required to be searched by this Authority, namely:
  
2. ☐ Claims Nos :  
because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:
  
3. ☐ Claims Nos :  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a)

**Box II Observations where unity of invention is lacking (Continuation of item 3 of first sheet)**

This International Searching Authority found multiple inventions in this international application, as follows:

**See Separate Sheet**

1. ☐ As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:
  
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest.
- ☐ No protest accompanied the payment of additional search fees.

## INTERNATIONAL SEARCH REPORT

International application No.

PCT/AU03/00699

**Supplemental Box**

(To be used when the space in any of Boxes I to VIII is not sufficient)

**Continuation of Box No: II**

The international application does not comply with the requirements of unity of invention because it does not relate to one invention or to a group of inventions so linked as to form a single general inventive concept. In coming to this conclusion the International Searching Authority has found that there are different inventions as follows:

1. Claims 1 to 9, 26 and 27 are directed to a carrier and a system for transferring security documents, the carrier being a cylindrically shaped housing defining an internal cavity, and including a lid with an aperture and a lockable base. The system includes an air tube system as defined in claims 22 to 25, and is adapted to transport the carrier (as defined in claims 1 to 9) from a source to a destination. It is considered that the carrier, as defined, comprises a first "special technical feature".
2. Claims 10 to 13 are directed to a docking system for receiving a carrier (not necessarily restricted to the carrier defined in claims 1 to 9) for transporting security documents, the docking system being adapted to cooperate with an aperture in a gaming table. It is considered that the docking system comprises a second "special technical feature". (It is noted that claim 14 defines a docking system for receiving a carrier as defined in any one of claims 1 to 9).
3. Claims 15 to 21 are directed to a controller adapted for use in an air tube system, the controller controlling a number of control stations for selectively transferring carriers (not necessarily restricted to the carrier defined in claims 1 to 9) from loading stations to destination stations. It is considered that the controller comprises a third "special technical feature".
4. Claims 22 to 24 are directed to an air tube system for transferring security documents, the system including a number of tubes transporting (any) carriers, one or more loading / destination stations coupled to the tubes, a pump for pumping air into / out of the tubes, one or more controlling stations selectively interconnecting the tubes, a number of detectors and a controller as defined claims 15 to 21. It is considered that the air tube system comprises a fourth "special technical feature". (It is noted that claim 25 defines an air tube system for transporting a carrier as defined in any one of claims 1 to 9).
5. Claims 28 to 30 are directed to a method for transferring security documents, the method including the use of a carrier (not necessarily restricted to the carrier defined in claims 1 to 9). It is considered that the method employing a (any) carrier comprises a fifth "special technical feature".

Since the abovementioned groups of claims do not share any of the technical features identified, a "technical relationship" between the inventions, as defined in PCT rule 13.2 does not exist. Accordingly the international application does not relate to one invention or to a single inventive concept, a priori.

However since all these inventions share the same classification under the IPC they could be searched together without effort which would warrant an additional fee. Therefore all the inventions have been searched without extra charge.

**INTERNATIONAL SEARCH REPORT**

Information on patent family members

International application No.

PCT/AU03/00699

This Annex lists the known "A" publication level patent family members relating to the patent documents cited in the above-mentioned international search report. The Australian Patent Office is in no way liable for these particulars which are merely given for the purpose of information.

Patent Document Cited in Search Report		Patent Family Member			
US 4273478		AU 34868/78	BR 7802284	CH 631232	
		DE 2814410	DK 1564/78	FR 2387345	
		GB 1593041	IT 1095865	JP 53128875	
		NL 7803843	SE 7704195	ZA 7801924	
EP 878423		NONE			
DE 3332452		NL 8203492	NL 9600017		
FR 2508668		NONE			
WO 200151392		AU 200130304	FR 2803584		
US 4470730		CA 1187465	EP 63691	JP 57184019	
AU 7839798		AU 78397/98			
					END OF ANNEX

## INTERNATIONAL SEARCH REPORT

International application No.

PCT/AU03/00699

<b>A. CLASSIFICATION OF SUBJECT MATTER</b>		
Int. Cl. <sup>7</sup> : B65G 51/04, 51/06, 51/36, 51/42		
According to International Patent Classification (IPC) or to both national classification and IPC		
<b>B. FIELDS SEARCHED</b>		
Minimum documentation searched (classification system followed by classification symbols)		
Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched		
Electronic data base consulted during the international search (name of data base and, where practicable, search terms used) WPAT : B65G 51/04, 51/06, 51/36, 51/42 and keywords (lid, aperture, lock+, load+, position, detect+, control+, signal+)		
<b>C. DOCUMENTS CONSIDERED TO BE RELEVANT</b>		
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 4273478 A (CEDERGREN) 16 June 1981	1, 2
Y	See Fig. 1	25, 26
	Column 1 lines 35-61	
X	EP 878423 A1 (ERGOTRANS) 18 November 1998	1, 2
Y	Column 1 lines 29-36 and column 5 lines 9-16	25, 26
	Column 1 lines 6-10	
X	DE 3332452 A1 (ERGON TRANS B.V.) 8 March 1984	15-17, 22-24,
Y	See Figure 3 and Claims	28, 30
		25, 26, 29
<input checked="" type="checkbox"/> Further documents are listed in the continuation of Box C <input checked="" type="checkbox"/> See patent family annex		
* Special categories of cited documents: "A" document defining the general state of the art which is not considered to be of particular relevance "E" earlier application or patent but published on or after the international filing date "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) "O" document referring to an oral disclosure, use, exhibition or other means "P" document published prior to the international filing date but later than the priority date claimed "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art "&" document member of the same patent family		
Date of the actual completion of the international search 30 July 2003		Date of mailing of the international search report 18 AUG 2003
Name and mailing address of the ISA/AU AUSTRALIAN PATENT OFFICE PO BOX 200, WODEN ACT 2606, AUSTRALIA E-mail address: pct@ipaustalia.gov.au Facsimile No. (02) 6285 3929		Authorized officer  S. GHOSH Telephone No : (02) 6283 2163

## INTERNATIONAL SEARCH REPORT

International application No.

PCT/AU03/00699

C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT		
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X Y	FR 2508668 A1 (MULLER) 31 December 1982 See Claims	15-24, 28, 30 25, 26, 29
X Y	WO 01/51392 A1 (SNEF COTE D'AZUR S.A.) 19 July 2001 Figures 1 & 2 and Claims	22 25, 26
X Y	Derwent Abstract Accession No. 87-141999/20, Class Q35, SU 1260311 A (ROST ROSTSELMASH) 30 September 1986 Abstract	1, 3 25, 26
X Y	Derwent Abstract Accession No. 92-014845/02, Class Q35, SU 1643352 A (TRANS PROGRESS) 23 April 1991 Abstract	15, 22-24, 28, 30 25, 26, 29
A	US 4470730 A (WUTHRICH) 11 September 1984	
A	AU 78397/98 A1 (NSL INVESTMENTS PTY LTD) 4 February 1999	

INTERNATIONAL SEARCH REPORT

International application No.  
PCT/AU03/00699

**Box I Observations where certain claims were found unsearchable (Continuation of item 2 of first sheet)**

This international search report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☐ Claims Nos :  
because they relate to subject matter not required to be searched by this Authority, namely:
  
2. ☐ Claims Nos :  
because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:
  
3. ☐ Claims Nos :  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a)

**Box II Observations where unity of invention is lacking (Continuation of item 3 of first sheet)**

This International Searching Authority found multiple inventions in this international application, as follows:

See Separate Sheet

1. ☐ As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:
  
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest.
- ☐ No protest accompanied the payment of additional search fees.

## INTERNATIONAL SEARCH REPORT

International application No.

PCT/AU03/00699

**Supplemental Box**

(To be used when the space in any of Boxes I to VIII is not sufficient)

**Continuation of Box No: II**

The international application does not comply with the requirements of unity of invention because it does not relate to one invention or to a group of inventions so linked as to form a single general inventive concept. In coming to this conclusion the International Searching Authority has found that there are different inventions as follows:

1. Claims 1 to 9, 26 and 27 are directed to a carrier and a system for transferring security documents, the carrier being a cylindrically shaped housing defining an internal cavity, and including a lid with an aperture and a lockable base. The system includes an air tube system as defined in claims 22 to 25, and is adapted to transport the carrier (as defined in claims 1 to 9) from a source to a destination. It is considered that the carrier, as defined, comprises a first "special technical feature".
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5. Claims 28 to 30 are directed to a method for transferring security documents, the method including the use of a carrier (not necessarily restricted to the carrier defined in claims 1 to 9). It is considered that the method employing a (any) carrier comprises a fifth "special technical feature".

Since the abovementioned groups of claims do not share any of the technical features identified, a "technical relationship" between the inventions, as defined in PCT rule 13.2 does not exist. Accordingly the international application does not relate to one invention or to a single inventive concept, a priori.

However since all these inventions share the same classification under the IPC they could be searched together without effort which would warrant an additional fee. Therefore all the inventions have been searched without extra charge.

**INTERNATIONAL SEARCH REPORT**

Information on patent family members

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**PCT/AU03/00699**

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		NL 7803843	SE 7704195	ZA 7801924	
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FR 2508668		NONE			
WO 200151392		AU 200130304	FR 2803584		
US 4470730		CA 1187465	EP 63691	JP 57184019	
AU 7839798		AU 78397/98			
END OF ANNEX					